# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

# **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5: (11) International Publication Number: WO 93/13769 A61K 31/40, 37/04, 37/00 A1 (43) International Publication Date: 22 July 1993 (22.07.93) C07D 487/22, 519/00 (81) Designated States: AU, CA, FI, JP, KR, NO, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). (21) International Application Number: PCT/US93/00060 (22) International Filing Date: 7 January 1993 (07.01.93) (30) Priority data: **Published** 822,409 17 January 1992 (17.01.92) With international search report. (71) Applicant: HEALTH RESEARCH INC. [US/US]; 666 Elm Street, Buffalo, NY 14263 (US). (72) Inventors: PANDEY, Ravindra, K.; 75 Lemay Court, Williamsville, NY 14221 (US). DOUGHERTY, Thomas, J.; 2306 West Oakfield, Grand Island, NY 14072 (US). (74) Agents: BOZICEVIC, Karl et al.; Morrison & Foerster, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).

### (54) Title: PYROPHEOPHORBIDES AND THEIR USE IN PHOTODYNAMIC THERAPY

#### (57) Abstract

Pyropheophorbide compounds are injected into a host and accumulate in tumor tissue to a higher degree than surrounding normal tissues. When the pyropheophorbide compounds are exposed to a particular wavelength of light the compounds become cytotoxic and destroy the tumor or diseased tissue without causing irreversible normal tissue damage. The pyropheophorbide compounds have shown improved results as compared to drugs currently used in photodynamic therapy. Further, they absorb light further in the red, optimizing tissue penetration and are retained in the skin for short time periods relative to other drugs used in photodynamic therapy.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbadus	CB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BC	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	iπ	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CC .	Congo		of Korca	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SK	Slovak Republic
CI.	Cote d'Ivoire	K2	Kazaklistan	SN	Senegal
CM	Canteroon	1.1	Liechtenstein	SU	Soviet Union
cs	Czechoslovakia -	LK	Sri Lanka	TD	Chad
cz	Czech Republic	L.U	Luxembourg	TC	Togo
DE	Gurmany	MC	Monaco	UA	Ukraine
DK	Denmark	MG	Madagascar	us	United States of America
ES	Spain	ML	Mali	VN	Viet Nam
ES FI	Fintand	MN	Mongolia	***	
	Litteratur	2417	· · · · · · · · · · · · · · · · · · ·		

-1-

5

30

35

# PYROPHEOPHORBIDES AND THEIR USE IN PHOTODYNAMIC THERAPY

### Cross-References

This application is a continuation-in-part of our earlier filed application Serial No. 07/597,786 filed October 15, 1990 which is a continuation of application Serial No. 07/221,804 filed July 20, 1988 which is now U.S. Patent 5,002,962 issued March 26, 1991 both of which are incorporated herein by reference and to which we claim priority under 35 USC §120.

### FIELD OF THE INVENTION

This invention relates generally to

20 photosensitive therapeutic compounds and photodynamic
therapy (PDT). More particularly, the invention relates
to pyropheophorbides, formulations that contain such and
their use in the treatment of cancer.

### 25 <u>BACKGROUND OF THE INVENTION</u>

As described in U.S. Patent 5,002,962, porphyrin related compounds accumulate at higher concentrations in tumor tissue as compared to normal tissue, and that irradiation of these compounds using light of the proper wavelength results in an energized form which, upon decay, results in cytotoxicity. It is believed that excitation of the porphyrin or related material results in the formation of singlet oxygen which is in fact the toxic agent. However, the compounds administered apparently do not degrade in this process.

-2-

Literature relating to the use of "hematoporphyrin derivative" (HPD) describes this process utilizing a preparation obtained when hematoporphyrin dichloride is treated using the procedure of Lipson, R.L., et al, <u>J National Cancer Inst</u> (1961) <u>26</u>:1-8. More recently, it has been shown that if this hematoporphyrin derivative is treated at a suitable pH, aggregation occurs and the active material in the mixture can be prepared in crude form as a size segregated aggregate (see, for example, U.S. Patent 4,649,151, incorporated herein by reference). This preparation is commercially available under the trademark Photofrin.

5

10

15

20

25

30

35

The preparation marketed as the Photofrin composition is a mixture. The mixture contains porphyrins joined by ether linkages (Dougherty, T.J., et al, Adv Exp Med Bio (1983) 160:3-13), and more recently, Kessel, D., et al Photochem Photobiol (1987) 46:463-568, has shown that ester linked porphyrins are contained in this mixture as well. Scourides, P.A.; et al, Cancer Res (1987) 47:3439-3445 have synthesized an oligomeric mixture of ether linked porphyrins starting from hematoporphyrin dimethyl esters. The mixture was active in PDT, but was as complex a mixture as the Photofrin preparation. Dimers of hematoporphyrin joined by ester linkages have also been prepared by Pandey, R.K., et al, Cancer Res (in press) and the dimers prepared were shown to be absent from the mixture in the Photofrin composition as well as inactive in an in vitro assay.

Thus, it is known in the art that some elements of a mixture prepared when HPD is aggregated and segregated into higher molecular weight components are active in photodynamic therapy. Earlier, the present inventors prepared single compound compositions useful in PDT as disclosed in U.S. Patent 5,002,962. The purified and defined compositions disclosed in U.S. Patent

-3-

5,002,962 are useful in photodynamic therapy as are compounds and methods disclosed in U.S. Patents 4,920,143 and 4,883,790.

# SUMMARY OF THE INVENTION

Pyropheophorbide compounds and pharmaceutical compositions containing such compounds can be used in methods of photodynamic therapy. The pyropheophorbides are encompassed by the following general structural formula I or II.

wherein  $R_1$  is  $CH_2OR_2$  where  $R_2$  is a primary or secondary alkyl containing 1 to 20 carbons; and  $R_3$  is  $-CO_2R_4$  where  $R_4$  is H or an alkyl containing 1 to 20 carbons. Other compounds of the invention are encompassed by formula II as follows:

25

5

-4-

wherein  $R_5$  is  $-OR_6$  where  $R_6$  is a primary or secondary alkyl containing 1 to 20 carbons and  $R_7$  is  $-CO_2R_8$  where 15  $R_8$  is H or an alkyl containing 1 to 20 carbons. Particularly preferred compounds are where  $R_5$  is -O-hexyl and  $R_7$  is  $-CO_2H$  or  $-CO_2CH_3$ . The pyropheophorbides of the invention are combined with excipients to provide pharmaceutically acceptable formulations suitable for use in photodynamic therapy.

The invention also includes methods of synthesizing compounds of formula I and II.

25

30

35

The invention includes injectable pharmaceutical compositions containing the pyropheophorbide compounds of the invention as active ingredients and to methods of conducting photodynamic therapy using the compounds and compositions of the invention.

The invention also includes the pyropheophorbide compounds of the invention conjugated to a ligand which is capable of binding a specific receptor such as a cellular receptor, or an antibody which is capable of binding to a particular antigen and to compositions containing these conjugates and methods of

-5-

conducting photodynamic therapy using the conjugates and their compositions.

A primary object of the invention is to provide pyropheophorbide compounds, pharmaceutical compositions containing such compounds and method of treatment carried out using such compounds in a photodynamic therapy.

Other objects are to provide methods of treating humans with tumor cells which cells replicate abnormally fast, treating atherosclerosis or inactivating bacteria or virus infections.

A feature of the present invention is that the pyropheophorbide compounds of the invention absorb light further into the red portion of the spectrum as compared with conventional compounds used in photodynamic therapy.

An advantage of the present invention is that the pyropheophorbide compounds and pharmaceutical compositions of the invention optimize tissue penetration and are retained in the skin for relatively short periods of time as compared with other compounds used in photodynamic therapy.

Another advantage of the present invention is that the pyropheophorbide compounds of the invention have a greater toxicity with respect to tumor cells and diseased tissue as compared with the toxicity of conventional compounds used in photodynamic therapy.

Another advantage of the invention is that the pyropheophorbides can be synthesized as free acids (e.g. in formula I or II when  $R_3$  or  $R_7$  are  $-\text{CO}_2\text{H}$ ) allowing ease in formulation without the need for liposomes or detergents.

Another advantage of the invention is the pyropheophorbide of the invention are active at very low doses of injected material as compared to conventional photosensitizers used in photodynamic therapy.

5

10

15

20

25

-6-

These and other objects, advantages and features of the present invention will become apparent to those persons skilled in the art upon reading the details of the structure, synthesis and usage as more fully set forth below, reference being made to the accompanying structural formals forming a part herein wherein like symbols refer to like molecular moieties throughout.

# Brief Description of the Drawings

5

10

15

20

25

30

35

Figure 1 is a FAB mass spectrum of the compound of formula II(a).

# DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS OF THE INVENTION

Before the present pyropheophorbide compounds, pharmaceutical compositions, methods of synthesizing and using such compounds are disclosed, it is to be understood that this invention is not limited to the particular compounds, compositions, methods of use or synthesis as described as such may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting since the scope of the present invention will be limited only by the appended claims.

It is to be noted that as used in this specification and the appended claims, the singular forms "a", "and" and "the" include plural reference unless the context clearly dictates otherwise thus, for example, reference to "a pyropheophorbide" includes mixtures of such pyropheophorbides, reference to "an antibody" includes mixtures of such antibodies and reference to "the method of treatment" includes reference to like methods which will become apparent to those skilled in the art upon reading this disclosure.

30

35

Unless defined otherwise all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art of photodynamic therapy. Although any methods and materials similar or equivalent to those described herein may be used in the practice or testing of the present invention, attempts have been made to describe preferred methods and materials below.

The essence of the invention is the disclosure
of novel compounds and pharmaceutical compositions
containing such compounds which have been found to be
highly effective in the treatment of cancer when used in
connection with a photodynamic therapy. More
specifically, the compounds are pyropheophorbide
compounds which are encompassed by the following general
structural formulae I and II.

$$H$$
 $H$ 
 $CH_3$ 
 $CH_2$ 
 $CH_2$ 
 $CH_3$ 
 $H$ 
 $H$ 
 $CH_3$ 
 $H$ 
 $CH_3$ 
 $CH_4$ 
 $CH_3$ 
 $H$ 
 $H$ 
 $CH_3$ 
 $CH_4$ 
 $CH_5$ 
 $CH_5$ 
 $CH_5$ 
 $CH_5$ 
 $CH_5$ 
 $CH_5$ 

wherein  $R_1$  is  $CH_2OR_2$  where  $R_2$  is a primary or secondary alkyl containing 1 to 20 (preferably 5-20) carbons; and  $R_3$  is  $-CO_2R_4$  where  $R_4$  is H or an alkyl containing 1 to 20

carbons. Preferred compounds are when  $R_1$  is  $-CH_2$ -O-hexyl and  $R_3$  is  $-CO_2CH_3$  or  $-CO_2H$ . Other compounds of the invention are encompassed by formula II as follows:

CH<sub>3</sub> R<sub>5</sub> CH<sub>3</sub> CH<sub>2</sub> CH<sub>2</sub> CH<sub>3</sub>

H<sub>3</sub>C NH N CH<sub>3</sub>

H<sub>3</sub>C CH<sub>3</sub>

H<sub>4</sub> CH<sub>3</sub>

CH<sub>3</sub> CH<sub>3</sub>

CH<sub>3</sub> CH<sub>3</sub>

CH<sub>3</sub> CH<sub>4</sub> CH<sub>3</sub>

wherein  $R_5$  is  $-0R_6$  where  $R_6$  is a primary or secondary alkyl containing 1 to 20 (preferably 5-20) carbons and  $R_7$  is  $-CO_2R_8$  where  $R_8$  is H or an alkyl containing 1 to 20 carbons. Particularly preferred compounds are where  $R_5$  is -O-hexyl and  $R_7$  is  $-CO_2H$  or  $-CO_2CH_3$ .

The pyropheophorbide compounds of structural formulae I and II can be formulated into pharmaceutical compositions and administered to patients in therapeutically effective amounts in order to treat cancer.

Although the invention encompasses all of the 30 compounds of structural formulae I and II it has been found that the compound of structural formula IIa is

20

particularly effective in the treatment of cancer when used in connection with photodynamic therapy. Structural formula IIa is put forth below:

H<sub>3</sub>C O-hexyl
H<sub>3</sub>C CH<sub>2</sub> CH<sub>2</sub> CH<sub>3</sub>

H<sub>3</sub>C NH N CH<sub>2</sub> CH<sub>3</sub>

H<sub>3</sub>C CH<sub>3</sub>

H<sub>3</sub>C CH<sub>3</sub>

H<sub>3</sub>C CH<sub>3</sub>

H<sub>3</sub>C CH<sub>3</sub>

H<sub>3</sub>C CH<sub>3</sub>

A generalized reaction scheme for the synthesis 20 of the compound of structural formula IIa is put forth below:

25

30

Scheme 1

3.  $R = -CH = CH_2$ 

5.  $R = -CH (O-hexyl)CH_3 = IIa$ 

-11-

### Starting Materials

10

15

20

25

30

35

The starting material for preparation of the red light-absorbing compounds is methyl pheophorbide-a, which is isolated from Spirulina destridratada by the method of Smith and Goff (D. Goff, Ph.D. Thesis, Univ. of Calif., Davis, CA 95616, 1984 incorporated herein by reference). Briefly, 500 gm dried Spirulina was slurried in a large volume of acetone and then liquid nitrogen was added to form a frozen slush. The slush was transferred to a 3-necked, 5-liter round bottom flask and heated to reflux under nitrogen with stirring for 2 hours. The mixture was filtered through Whatman paper on a Buchner funnel with extensive acetone washing. The extraction and filtration process was repeated 2 more times; all green color could not be removed from the solid.

The green filtrate was evaporated and purified by flash chromatography on Grade V neutral Alumina, eluting first with n-hexane to remove a fast running yellow band and then with dichloromethane to obtain the major blue/gray peak containing pheophytin-a. Treatment of pheophytin-a with 500 ml sulfuric acid in methanol for 12 hours at room temperature in the dark under nitrogen was followed by dilution with dichloromethane. The reaction mixture was rinsed with water and then 10% aqueous sodium bicarbonate and the organic layer was dried, evaporated, and the residue recrystallized from dichloromethane/methanol to obtain 1.8 gm methyl pheophorbide-a. Methyl pheophorbide-a appears to be inactive in the in vivo tumorcidal activity assay when injected at a dose of 5 mg/kg.

# Conjugates and Labeled Pyropheophorbides

In addition to using compositions which consist essentially of the above-defined compounds or preparations as active ingredient, it is possible to use

-12-

derivatized forms in order to provide a specific targeting mechanism. Commonly used target-specific components include monoclonal antibodies and ligands which bind to a cellular receptor. The compositions can also be conveniently labeled.

The target-specific component can then be, for example, an immunoglobulin or portion thereof or a ligand specific for a particular receptor. The immunoglobulin component can be any of a variety of materials. It may be derived from polyclonal or monoclonal antibody preparations and may contain whole antibodies or immunologically reactive fragments of these antibodies such as F(ab')<sub>2</sub>, FAB, or FAB' fragments. Use of such immunologically reactive fragments as substitutes for whole antibodies is well known in the art. See, for example, Spiegelberg, H.L., in "Immunoassays in the Clinical Laboratory" (1978) 3:1-23 incorporated herein by reference.

10

15

20

25

30

Polyclonal anti-sera are prepared in conventional ways by injecting a suitable mammal with antigen to which antibody is desired, assaying the antibody level in serum against the antigen, and preparing anti-sera when the titers are high. Monoclonal antibody preparations may also be prepared conventionally such as by the method of Koehler and Milstein using peripheral blood lymphocytes or spleen cells from immunized animals and immortalizing these cells either by viral infection, by fusion with myelomas, or by other conventional procedures, and screening for production of the desired antibodies by isolated colonies. Formation of the fragments from either monoclonal or polyclonal preparations is effected by conventional means as described by Spiegelberg, H.L., <u>supra</u>.

Particularly useful antibodies include the monoclonal antibody preparation CAMAL1 which can be

-13-

prepared as described by Malcolm, A., et al, <u>Ex Hematol</u> (1984) 12:539-547; polyclonal or monoclonal preparations of anti-M1 antibody as described by New, D., et al, <u>J</u> <u>Immunol</u> (1983) 130:1473-1477 (<u>supra</u>) and B16G antibody which is prepared as described by Maier, T., et al, <u>J</u> <u>Immunol</u> (1983) 131:1843; Steele, J.K., et al, <u>Cell</u> <u>Immunol</u> (1984) 90:303 all of which publications are incorporated herein by reference.

5

10

30

35

The foregoing list is exemplary and certainly not limiting; once the target tissue is known, antibody specific for this tissue may be prepared by conventional means. Therefore the invention is applicable to effecting toxicity against any desired target.

The ligand specific for receptor refers to a

15 moiety which binds a receptor at cell surfaces, and thus
contains contours and charge patterns which are
complementary to those of the receptor. It is well
understood that a wide variety of cell types have
specific receptors designed to bind hormones, growth

20 factors, or neurotransmitters. However, while these
embodiments of ligands specific for receptor are known
and understood, the phrase "ligand specific for
receptor," as used herein, refers to any substance,
natural or synthetic, which binds specifically to a

25 receptor.

Examples of such ligands include the steroid hormones, such as progesterone, estrogens, androgens, and the adrenal cortical hormones; growth factors, such as epidermal growth factor, nerve growth factor, fibroblast growth factor, and so forth; other protein hormones, such as human growth hormone, parathyroid hormone, and so forth; and neurotransmitters, such as acetylcholine, serotonin, and dopamine. Any analog of these substances which succeeds in binding to the receptor is also included.

-14-

The conjugation of the target-cell-specific component to the compounds of the invention can be effected by any convenient means. For proteins, such Ig and certain receptor ligands, a direct covalent bond between these moieties may be effected, for example, using a dehydrating agent such as a carbodiimide. A particularly preferred method of covalently binding the compounds of the invention to the immunoglobulin moiety is treatment with 1-ethyl-3-(3-dimethylamino propyl) carbodiimide (EDCI) in the presence of a reaction medium consisting essentially of dimethyl sulfoxide (DMSO).

Of course, other dehydrating agents such as dicyclohexylcarbodiimide or diethylcarbodiimide could also be used as well as conventional aqueous and partially aqueous media.

10

15

20

25

30

35

Nonprotein receptor ligands can be conjugated to be dimers and trimers according to their relevant functional groups by means known in the art.

The active moieties of the conjugate may also be conjugated through linker compounds which are bifunctional, and are capable of covalently binding each of the two active components. A large variety of these linkers is commercially available, and a typical list would include those found, for example, in the catalog of the Pierce Chemical Co. These linkers are either homoor heterobifunctional moieties and include functionalities capable of forming disulfides, amides, hydrazones, and a wide variety of other linkages.

Other linkers include polymers such as polyamines, polyethers, polyamine alcohols, derivatized to the components by means of ketones, acids, aldehydes, isocyanates, or a variety of other groups.

The techniques employed in conjugating the active moieties of the conjugate to the target-specific component include any standard means and the method for

-15-

conjugation does not form part of the invention.

Therefore, any effective technique known in the art to produce such conjugates falls within the scope of the invention, and the linker moiety is accordingly broadly defined only as being either a covalent bond or any linker moiety available in the art or derivable therefrom using standard techniques.

The compounds of the invention per se or the conjugates may be further derivatized to a compound or ion which labels the drug. A wide variety of labeling moieties can be used, including radioisotopes and fluorescent labels. Radioisotope labeling is preferred, as it can be readily detected in vivo.

The compounds which are alone or are conjugates with a specific binding substance can be labeled with radioisotopes by coordination of a suitable radioactive cation in the porphyrin system. Useful cations include technetium and indium. In the conjugates, the specific binding substances can also be linked to label.

20

25

30

35

5

10

15

#### Administration and Use

In general, the pyropheophorbide compounds of the invention are administered to a host such as a human suffering from cancer in therapeutically effective amounts by any suitable means such as injection which may be IV or IM or may be administered transdermally. The pyropheophorbide compounds of the invention accumulate in tumor cells to a much higher degree than they accumulate in surrounding normal tissues. After being provided with sufficient time so as to accumulate in the tumor tissue, the pyropheophorbide compounds are exposed to a particular wavelength of light which causes the compounds to become cytotoxic, thus destroying the tumor or diseased tissue which the pyropheophorbide compounds have accumulated in. This is accomplished without causing

5

10

15

20

25

30

35

-16-

irreversible damage to surrounding normal tissues wherein there has not been an accumulation of the pyropheophorbide compounds.

The compounds and their conjugates with targetspecific substances of the invention are useful, in general, in the manner known in the art for hematoporphyrin derivative and for Photofrin II compositions. These compositions are useful in sensitizing neoplastic cells or other abnormal tissue to destruction by irradiation using visible light -- upon photoactivation, the compositions have no direct effect, nor are they entered into any biological event; however the energy of photoactivation is believed to be transferred to endogenous oxygen to convert it to singlet oxygen. This singlet oxygen is thought to be responsible for the cytotoxic effect. In addition, the photoactivated forms of porphyrin fluorescence which fluoresce can aid in localizing the tumor. Thus, the dimer and trimer compounds of the invention are not consumed or altered in exerting their biological effects.

Typical indications, known in the art, include destruction of tumor tissue in solid tumors, dissolution of plaques in blood vessels (see, e.g., U.S. patent 4,512,762); treatment of topical conditions such as acne, athlete's foot, warts, papilloma, and psoriasis and treatment of biological products (such as blood for transfusion) for infectious agents, since the presence of a membrane in such agents promotes the accumulation of the drug. Other uses include treating humans suffering from atherosclerosis and inactivating bacaterial or viral infections.

The compositions are formulated into pharmaceutical compositions for administration to the subject or applied to an <u>in vitro</u> target using techniques known in the art generally. A summary of such

-17-

pharmaceutical compositions may be found, for example, in Remington's Pharmaceutical Sciences, Mack Publishing Co., Easton, Pennsylvania, latest edition. The compositions, labeled or unlabeled, can be administered systemically, in particular by injection, or can be used topically.

5

10

15

20

25

35

Injection may be intravenous, subcutaneous, intramuscular, or even intraperitoneal. Injectables can be prepared in conventional forms, either as liquid solutions or suspensions, solid form suitable for solution or suspension in liquid prior to injection, or as emulsions. Suitable excipients are, for example, water, saline, dextrose, glycerol and the like. course, these compositions may also contain minor amounts of nontoxic auxiliary substances such as wetting or emulsifying agents, pH buffering agents and so forth.

Systemic administration can also be implemented through implantation of a slow release or sustained release system, by suppository, or, if properly formulated, orally. Formulations for these modes of administration are well known in the art, and a summary of such methods may be found, for example, in Remington's Pharmaceutical Sciences (supra).

If the treatment is to be localized, such as for the treatment of superficial tumors or skin disorders, the compositions may be topically administered using standard topical compositions involving lotions, suspensions, or pastes.

The quantity of compound to be administered depends on the choice of active ingredient, the condition 30 to be treated, the mode of administration, the individual subject, and the judgment of the practitioner. Depending on the specificity of the preparation, smaller or larger doses may be needed. For compositions which are highly specific to target tissue, such as those which comprise conjugates with a highly specific monoclonal

-18-

immunoglobulin preparation or specific receptor ligand, dosages in the range of 0.05-1 mg/kg are suggested. For compositions which are less specific to the target tissue, larger doses, up to 1-10 mg/kg may be needed. The foregoing ranges are merely suggestive, as the number of variables in regard to an individual treatment regime is large and considerable excursions from these recommended values are expected. Further, because of slight solubility in water, certain compounds of the invention may be administered directly in saline or 5% glucose solution, thus avoiding the complications of detergents or other solubilizing agents.

Those skilled in the art of photodynamic therapy and compounds related to the present invention will be better able to determine an appropriate dosage and overall dosage regime when taking a number of factors into consideration. For example, the size, weight and condition of the patient must be considered as must be the responsiveness of the patient and their disease to the particular therapy. It is believed that even relatively small doses administered a single time can have a beneficial effect. Further, extremely large doses could, of course, be toxic. Accordingly, rather than providing specific information on dosage amount and intervals between dosing, attention should be paid to conventional factors used in determining such dosing while considering that the pyropheophorbide compounds of the invention have a greater degree of toxicity with respect to tumor cells and therefore can generally be administered in smaller amounts than the conventional compounds used in connection with photodynamic therapy.

5

10

15

20

25

#### EXAMPLES

The following examples are put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make the pyropheophorbide compounds and pharmaceutical compositions of the invention and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, etc.), but some experimental errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, temperature is in degrees Centigrade, and pressure is at or near atmospheric.

### EXAMPLE 1

Methyl pyropheophorbide-a (2): Methyl

- pheophorbide-a (1, 1.0 g) was obtained from alga Spirulina destridratada by following the procedure described in K.M. Smith, D.A. Goff and D.J. Simpson, J. Am. Chem. Soc., 1985, 107, 4941-4954; and R.K. Pandey, D.A. Bellnier, K.M. Smith and T.J. Dougherty, Photochem.
- Photobiol., 1991, 53, 65-72, both of which are incorporated herein by reference. The methyl pheophorbide-a was heated under reflux in collidine (100 ml) for 90 min during slow passage of a stream of nitrogen. See G.W. Kenner, S.W. McCombie and K.M. Smith,
- J. Chem. Soc. Perkin Trans. 1973, 1, 2517-2523, incorporated herein by reference. The solution is evaporated (0.1 mm Hg) and the residue was recrystallized from dichloromethane/methanol. Yield 820 mg; 91%, m.p. 217-219°C, lit. 220-225°C; H. Fisher and A. Stern, Die
- 35 <u>Chemie des Pyrrole</u>, vol II, Part 2, pp. 64 and 74,

-20-

3

Akademische Verlag, Leipzig incorporated herein by reference. Vis: (max) 410 (112 000); 508 (11 000); 536 (9 600); 610 (8 200); 666 (45 000); NMR, ppm; 9.50, 9.38, 8.52 (each s, 3H, 3 meso H); 7.95-8.05 (m, 1H, CH=CH<sub>2</sub>); 6.30 and 6.15 (each s, 1H, CH-CH<sub>2</sub>); 5.27 to 5.12 (q, 2H, 10-CH<sub>2</sub>); 4.50 (m, 8-HO; 4.28 (m, 7-H); 3.70 (q, 2H, CH<sub>2</sub>CH<sub>3</sub>); 3.68 (s, 3H, CHCHCO<sub>2</sub>CH<sub>3</sub>); 3.62, 3.40, 3.22 (each s, 3H, 3CH<sub>3</sub>); 2.70 (7a-H); 2.31(7a'-H); 2.56 (7b-H); 2.29 (7b'-H); 1.82 (d, 3H, 8-CH<sub>3</sub>); 1.70 (t, 3H, CH<sub>2</sub>CH<sub>3</sub>); -1.70 (s, 2H,2 NH)

Pyropheophorbide-a (3): Methyl

pyropheophorbide-a (2, 250 mg) was dissolved in distilled tetrahydrofuran (50 ml) and 4N HCl (125 ml) was added in one lot. The reaction mixture was stirred under nitrogen atmosphere at room temperature for 4 hours. The reaction was monitored by analytical tlc (silica plates), using 10% methanol/dichloromethane as a mobile phase. reaction mixture was then poured in ice water, extracted with dichloromethane. The dichloromethane layer was washed several times with water (3X200 ml). The organic layer was separated and dried over anhydrous sodium sulfate. Evaporation of the solvent gave a residue, which was crystallized from dichloromethane/hexane. Yield, 225 mg. The purity of the compound was ascertained by tlc and the structure was confirmed by NMR spectroscopy. The NMR data were similar as described for 2 except the resonances for the -OCH3 protons of the propionic ester  $(-CH_2CH_2CO_2CH_3)$  were missing.

Methyl -2 - {1(0-hexyl)ethyl} - devinyl

pyropheophorbide (4): Pyropheophorbide-a (2, 200 mg) was

dissolved in 30% HBr/acetic acid (5.0 ml) and the

reaction mixture was stirred in a glass stoppered flask

(rubber septum can also be used) at room temperature for

2.5 hours. The solvent was removed under high vacuum (1

35

10

15

20

25

-21-

mm Hg) and the resulting 1-bromo ethyl derivative was immediately treated with n-hexanol (3.0 ml) under nitrogen atmosphere. The reaction mixture was stirred at room temperature for 45 min, diluted with dichloromethane 5 (100 ml). The dichloromethane layer was washed with water (3X200 ml) till the aqueous phase is neutral and then dried over anhydrous sodium sulfate. Evaporation of the solvent gave a residue, which was chromatographed over Alumina Grade III (6% water/neutral Alumina) and 10 eluted with dichloromethane. The first fraction was a mixture of the starting material and the desired product (minor quantity). Further elution with same solvent gave the desired product. The appropriate eluates were combined. Evaporation of the solvent afforded a sticky 15 solid, which can be crystallized from dichloromethane/ hexane. Yield 70%. (see Scheme-1), Vis, (max); 408 (90 000); 471 (3 200), 506 (8600); 536 (8,500); 604 (7,250); 660 (41 500). NMR, ppm; 9.79, 9.51, 8.53 (each s, 1H, meso H); 5.90 (q, 2H, -CH (O-hexyl)CH<sub>3</sub>; 5.08-5.30 (q, 2H, 20 10 - CH<sub>2</sub>); 4.47 (m, 8H); 4.29 (m, 7-HO; 3.75 (q, 2H,  $CH_2CH_3$ ); 3.67 (s, 3H,  $CH_2CH_2CO_2CH_3$ ), 3.67 (s, 6H, 2 X  $CH_3$ ); 3.38 and 3.27 (each s,  $CH_3$ ); 2,68 (7a-H) 2.28 (7a'-H), 2.55 (7b-H); 2.20 (7b'-H); 1.80 (d, 3H, CH<sub>2</sub>CH<sub>3</sub>); -1.70 (s, 2H, 2 NH); for the hexyl group, 3.72 (t, 2H, 0- $CH_2CH_2$ ); 1.73 (2H,  $CH_2$ ); 1.25 [bs, merged, 6H,  $(CH_2)_3$ ]; 0.78 (t, 3H, CH<sub>3</sub>). (see fig. 1).

2-{1(O-hexyl)ethyl}devinyl pyropheophorbide-a
(5): Pyropheophorbide-a (3, 200 mg) was reacted with 30% HBr/acetic acid and then with n-hexanol by following the method as discussed for 4 and the desired product was isolated in 60 to 65% yield. The structure was confirmed by NMR spectroscopy.

-22-

#### EXAMPLE 2

### Tumor Treatment

5

10

1.5

20

When 2-[1-(0-hexyl)ethyl] devinyl pyropheophorbide-a - structure (5) in Scheme 1: S-ROwhere  $R = (CH_2)_5 CH_3$  and m = H, (formula IIa) synthesized as indicated (5.0 mg) is dissolved in Tween 80 (0.1 ml) and mixed with 10 ml Hanks Balanced Salt Solution (HBSS), a solution of approximately 0.5 mg/ml in 0.1% Tween 80 is produced after filtration through a 0.22  $\mu M$  Millipore filter. Ten DBA/2 mice with 0.4-0.5 mm diameter subcutaneous SMT-F tumors in the axilla are injected intravenously with 0.3 mg/kg body weight of the above solution (after diluting in HBSS so that the injected volume per mouse is approximately 0.2 ml). Approximately 24 h later the tumor area (having been shaved and depilated prior to tumor implant) is exposed to laser light at 660-670 nm for 30 min at a power of 75 mW/cm<sup>2</sup> to deliver 135 Joules/cm<sup>2</sup>. Alternately, a Xenon arc lamp filtered to emit a broader band width near 670 nm and approximately 283 Joules/cm<sup>2</sup> can be used.

The day after light treatment all the tumors are seen to be flat (non-palpable) and slight skin blanching over the area is noted. This progresses to frank tumor necrosis over the next few days. At 7 days post treatment all tumors remain non-palpable and necrotic. At 30 days post treatment, six of the 10 tumors remain non-palpable, and one remains tumor-free to 90 days post treatment.

30

35

25

# EXAMPLE 3

•

### Skin Clearance

Six albino Swiss mice (HaICR) are injected intravenously with a dose of 0.1 mg/kg body weight of the compound of formula IIa prepared as in Example 1. After

-23-

approximately 24 h, the hind foot of the animal is exposed to the same dose of either laser light at 660-670 nm (135 Joules/cm²) or the Xenon arc lamp (283 Joules/cm²) as above. The reaction of the foot is scored for damage over the next few days to determine the maximum effect, which in this case is a value 0.3 equivalent to slight edema. If the internal between the injection and light treatment is extended to approximately 48 h, the foot reaction is zero (no damage incurred), indicating either clearance or metabolism of the sensitizer.

Data obtained as a result of experiment carried out is put forth below in Table 1.

15

20

25

Maximum Reaction 0.3 Normal Foot Response<sup>3</sup> Time Interval (bours) 48-72 2 Day 90 In Vivo Tumor Photosensitizing Activity of Pyropheophorbide Ethens 3/6 2 Day 30 9/10 210 % 3% Š 2 S Tumor Response? <u>0</u> Day 7 0/01 200 3% **%** 3.5 99 \$ Day I 10/10 0/10 \$ 8 9% 9/9 33 % 9% 2/5 9/9 ŝ ક્ર Wavelength ş 83 655 8 679 8 3 3 629 7 7 7 7 z 7 7 7 2 Time Interval (bours) Formula I R, = -CH,O(CH,),CH, R, = -CO,CH, Injected Dose (mg/fg) Formula II R, = -O-(CH,),CH, R, = -CO,H R, = -0-(CH,),CH, R, = -CO,CH, R. = -0-CH, R. = -CO,CH, 6. 0.5 0.0 9 <u>-</u> <u>-</u> 6 0.3 6. 6.3 0.3 9 Formula II Formula II

SMT-F tumor in DBA/2 mice; 135 J/cm² light from laser at 75 mW/cm²

Į

\*Number of non-palpable tumvis/Number treated tumors post light treatment on Day indicated White Albino Swiss mice; fout exposed using same conditions as for tunnor treatment. Score of 0,3 × light edeling; 0 × in reactions.

-25-

The data put forth in Table 1 clearly demonstrates that the pyropheophorbide compounds of the invention are activated by light having a wavelength of about 660 nm. Further, when the compound were administered by injection and subjected to light having a wavelength of about 660 nm, the treatment was found to be highly effective with respect to reducing tumor size in as little as seven days.

Further, the data of Table 1 show compounds of the invention clear skin over a period of 24-48 hours after administration. This is a desirable feature in that the patient is not subjected to prolonged cutaneous photosensitivity. The data of Table 1 also show that the hexyl ethers of formula II are preferred over methyl ethers in terms of effecting tumor growth when used in photodynamic therapy.

While the present invention has been described with reference to specific compounds, formulations and methods, it is to be understood by those skilled in the art that various changes may be made and equivalents may be substituted without departing from the true spirit and scope of the invention. In addition, many modifications may be made to adapt to a particular individual, method of administration, process of synthesizing, etc., which are within the scope of the present invention. All such modifications are intended to be within the scope of the claims appended hereto.

10

-26-

### **CLAIMS**

## 1. A compound of formula I:

I  $H_3C$   $H_3C$   $H_3C$   $H_3C$   $H_3C$   $H_3C$   $H_3C$   $H_3C$   $H_4$   $H_3C$   $H_4$   $H_5$   $H_5$ 

wherein  $R_1$  is  $CH_2OR_2$  where  $R_2$  is a primary or secondary alkyl containing 1 to 20 carbons; and  $R_3$  is  $-CO_2R_4$  where  $R_4$  is H or an alkyl containing 1 to 20 carbons.

- 2. The compound as claimed in claim 1, wherein  $R_1$  is  $CH_2-O$ —hexyl;  $R_2$  is  $-CH_3$  and  $R_3$  is  $-CO_2CH_3$ .
- 3. A method to effect the destruction of target virus, cells or tissue, comprising:

contacting said target with an effective amount of compound of claim 1; and

irradiating with light absorbed by said compound.

35

-27-

4. A pharmaceutical composition useful in treatment of a target virus, cells or tissue, comprising: an effective amount of the compound of claim 1 in admixture with a pharmaceutically acceptable excipient.

- 5. A conjugate which consists essentially of the compound of claim 1 covalently bound to a targetspecific component selected from the group consisting of an immunoglobulin and a receptor ligand.
- 6. A pharmaceutical composition useful for labeling malignant tissue which comprises the compound of claim 1 associated with a label.

7. A compound of formula II:

wherein  $R_5$  is  $-OR_6$  where  $R_6$  is a primary or secondary alkyl containing 1 to 20 carbons and  $R_7$  is  $-CO_2R_8$  where  $R_8$  is H or an alkyl containing 1 to 20 carbons.

5

10

-28-

8. The compound as claimed in claim 7, wherein  $R_5$  is selected from the group consisting of -O-hexyl and -O-(CH<sub>2</sub>)<sub>5</sub>CH<sub>3</sub> and  $R_7$  is selected from the group consisting of -CO<sub>2</sub>CH<sub>3</sub> and -CO<sub>2</sub>H.

5

15

9. A method to effect the destruction of target virus, cells or tissue, comprising:

contacting said target with an effective amount of compound of claim 7; and

irradiating with light absorbed by said compound.

- 10. A pharmaceutical composition useful in treatment of a target virus, cells or tissue, comprising: an effective amount of the compound of claim 7 in admixture with a pharmaceutically acceptable excipient.
- . 11. A conjugate which consists essentially of the compound of claim 7 covalently bound to a targetspecific component selected from the group consisting of an immunoglobulin and a receptor ligand.
- 12. A method of treating a human with abnormal
  25 cells which replicate at an abnormally high rate,
  comprising the steps of:

-29-

administering to the human a therapeutically effective amount of a compound of formula II

wherein  $R_5$  is  $OR_6$  where  $R_6$  is a primary or secondary alkyl containing 5 to 20 carbons and  $R_7$  is  $-CO_2R_8$  where  $R_8$  is H or  $-CH_3$ ;

allowing the compound of formula I to accumulate on the abnormal cells; and

irradiating the compound of formula I with a wavelength of light which is absorbed by the compound of formula I and thereby generating a cytotoxic effect with respect to the abnormal cells.

25

20

13. The method as claimed in claim 12 wherein the compound is administered in an amount in the range of 0.01 mg/kg to 1.0 mg/kg of body weight and is administered at timed intervals in the range of from every 3 hours to every 72 hours for over a period of from 1 day to 30 days and the wavelength of the light is in the range of 600 to 700 nm.

-30-

14. The method as claimed in claim 12, wherein  $R_5$  is -O-hexyl and  $R_7$  is -CO<sub>2</sub>H and wherein the wavelength of the light is about 660 nm.

5

10

15

20

25

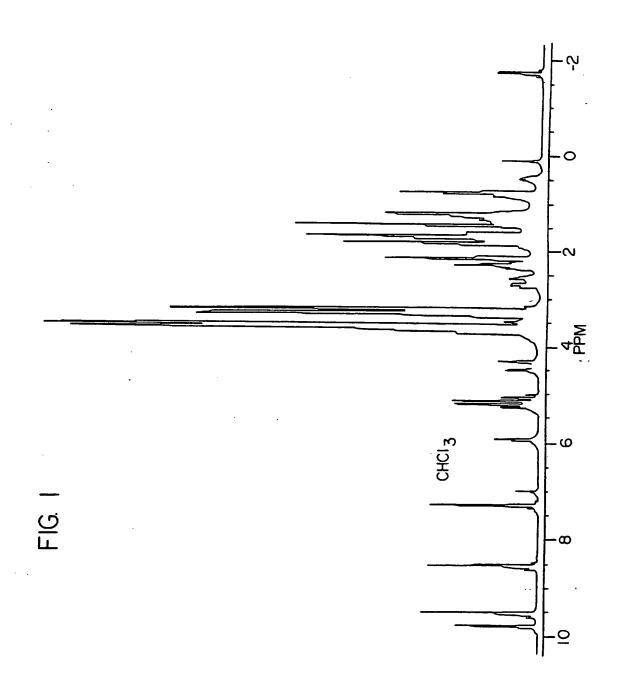
30

35

. 3

٠.

÷



# INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/00060

A. CLASSIFICATION OF SUBJECT MATTER  IPC(5) :A61K 31/40,37/04,37/00; C07D 487/22, 519/00  US CL :514/2,410; 530/391; 540/145								
According to International Patent Classification (IPC) or to both national classification and IPC								
B. FIELDS SEARCHED								
Minimum documentation searched (classification system followed by classification symbols)  U.S.: N/A								
Description seconds of the throughout description to the								
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched								
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)								
CAS ONLINE: Structural search using "PORPHN".								
C. DOCUMENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where	appropriate, of the relevant passages	Relevant to claim No.					
A	US,A, 4,949,151 (DOUGHERTY ET 10 MARCH 1987	Γ AL)	1-14					
	See abstract							
		•						
			1					
j	•							
			i					
.								
			j					
7			ļ					
Furth	er documents are listed in the continuation of Box (	C. See patent family annex.						
	cial categories of cited documents:	"T" later document published after the inte date and not in conflict with the applica	mational filing date or priority					
to b	ument defining the general state of the art which is not considered e part of particular relevance	principle or theory underlying the invi	ntion					
'L' doc	ier document published on or after the international filing date ument which may throw doubts on priority claim(s) or which is	"X" document of particular relevance; the considered novel or cannot be consider when the document is taken alone	ed to involve an inventive step					
spec	d to establish the publication date of another citation or other find reason (as specified)	"Y" document of particular relevance; the considered to involve an inventive	step when the document is					
IDEL	ment referring to an oral disclosure, use, exhibition or other as ment published prior to the international filing data but later than	combined with one or more other such being obvious to a person skilled in th  "&" document member of the same patent	e art					
the	priority date claimed	Date of mailing of the international sea						
15 MARCI	•	31 MAR 1993	ren report					
	ailing address of the ISA/US	Authorized officer	1/2 Nguyen					
Box PCT	er of Patents and Trademarks	RICHARD RAYMOND	N NGOC-BO					
	D.C. 20231	Direction of the second	ATTICHAL DIVING					

Facsimile No. NOT APPLICABLE
Form PCT/ISA/210 (second sheet)(July 1992)\*